# RLS Licensing Application Information for Charitable Fundraising Businesses and Campaigns

A user guide to assist Charitable Fundraising Businesses and Campaigns using FCAA's Registration and Licensing System.



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## SPECIAL NOTE

Charitable Fundraising Businesses licensing applications, annual licensing renewals/filings, and licence information updates must be submitted to the Registrar, <u>The Charitable Fund-raising Businesses Act</u>, via the on-line Financial and Consumer Affairs Authority (FCAA) Registration and Licensing System (RLS).

Continue to next pages to read the On-line Application Instructions. These additional links will also provide value:

- Go to our website (<u>www.fcaa.gov.sk.ca</u>) and navigate to <u>charitable-fundraising-businesses</u> and location the RLS Basics Guide.
- RLS portal (https://fcaa.saskatchewan.ca).

## Before You Begin

Welcome to FCAA's Registration and Licensing System (RLS). This system has been designed to facilitate the process of applying for and managing Charitable Fund-raising Business licenses.

Note that once licensed, the licensee may not manage or be responsible for a solicitation on behalf of a charitable organization in which it, or an associate, has an interest.

More information about "Charitable Fund-raising Businesses" requirements can be reviewed online at: Charitable Fundraising Businesses.

During this online submission process, you will be required to answer questions about your business activities and to supply verification of your suitability for licensing. If you have any questions about the requirements, we encourage you to contact us at 306-787-5550 or toll-free at 1-877-880-5550 before you begin your submission.

To be licensed as a "Charitable Fund-raising Business" you will need:

- a. To file an application and obtain a license using this RLS procedure
- b. To obtain and maintain a registered business name;
- c. To hold a valid email address;
- d. To provide relevant information (including charity agreements and campaign scripts) when requested;
- e. To provide updates of any change to information provided within 30 days of a change;
- f. To pay an initial application fee and thereafter an annual licensing fee.

#### **Name Registration**

All corporations and operating (business) names must be registered with the Corporate Registry of the Information Services Corporation (ISC). Phone 1-866-275-4721 for information on this procedure. Note, if you are a sole proprietor, you will need to register an operating/business name with ISC.

#### **Contact information**

Registrar, The Charitable Fund-raising Businesses Act Financial and Consumer Affairs Authority Consumer Protection Division 500 - 1919 Saskatchewan Drive REGINA SK S4P 4H2

Telephone: 306-787-5550 1-877-880-5550 (toll-free) Fax: 306-787-9779

Email: cpdlicensing@gov.sk.ca

Web address: http://www.fcaa.gov.sk.ca

#### **General Remarks**

Every applicant for a licence should become familiar with the Act and Regulations. A complete copy of *The Charitable Fund-raising Businesses Act* and *Regulations* is available free of charge online at <a href="https://www.publications.saskatchewan.ca">www.publications.saskatchewan.ca</a> or by purchasing a print copy from Publications Saskatchewan at

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Telephone: 1-800-226-7302 (Sask. Residents only) 306-787-6894 Fax: 306-798-0835 Email: publications@gov.sk.ca.

#### **After You Submit**

Once you have completed your submission, it will be reviewed by FCAA. You may be contacted to provide additional information in order to complete the review process. Your timely response to these requests will ensure the review of your submission is not delayed. You will be advised of the progress of your submission electronically by email.

Unless otherwise directed, any correspondence you receive from FCAA will direct or require you to return to the online Registration and Licensing System (RLS) portal to ensure the security of your information.

#### **Security Controls**

For security purposes, remember that we will never ask you for your user name or password.

#### Terms of Use

The Financial and Consumer Affairs Authority of Saskatchewan ("FCAA") is a regulatory body comprised of different divisions ("Regulatory Divisions") that administer a number of regulatory programs pursuant to various legislation and regulations ("Regulatory Laws"). By accessing this system, including providing or submitting any information ("Information"), I acknowledge, recognize and understand that any and all information I provide to FCAA and/or any of its Regulatory Divisions may be used, disclosed or shared by FCAA or any of its Regulatory Divisions as authorized by Regulatory Laws or other applicable laws, including the sharing of the information amongst the different Regulatory Divisions.

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## Campaigns

Note that you must be already licensed as a "Charitable Fund-raising Business" to file, submit or upload campaign information. If you are not already licensed, please choose "Charitable Fundraising" from the "Select the Licence / Registration".

Once licensed, upload all fund-raising agreements and any campaign information to be used with or on behalf of Saskatchewan charities. For more information, see <a href="Charitable Campaign information"><u>Charitable Campaign information</u></a>.

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# Charitable Fundraising Businesses Application

The narrative below describes the details required on the pages or steps of the online RLS application.

#### **Login Page**

Log in using your username and password if you have already registered, otherwise please register.

#### Once logged-in

- 1. select "Apply for a Licence/Registration/Endorsement/or Film Classification", then
- 2. select the Consumer Protection "button", then
- 3. click on the drop down window labelled "Please select to reveal list...", then
- 4. within the dropdown window, select Charitable Fundraising Businesses

#### **Before You Begin page**

The "Before You Begin" page outlines basic information about credit reporting licensing, legal definitions, name registration, contact information, security controls and terms of use.

After reading the information contained on this page, select the "I acknowledge" button.

Since you will not be able to return to this "Before You Begin" page once you select the "I acknowledge" button, it was reproduced at the start of this document for your reference.

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#### Step 1 – Primary Contact

At this step, provide primary contact information for the person who should receive communication and information from the FCAA regarding this request.

If the primary contact is to be the same as the registered user (that is the person who registered the username and password) then, select "Yes" below.

If the primary contact for this request is someone other than the registered user, select "No" below and completed the required information fields/boxes. This is recommended if the primary contact is an employee or designate of the organization. Such an employee/designate of the organization should be authorized to act on behalf of the organization and the organization is bound and held responsible for the information and declaration made by such an individual.

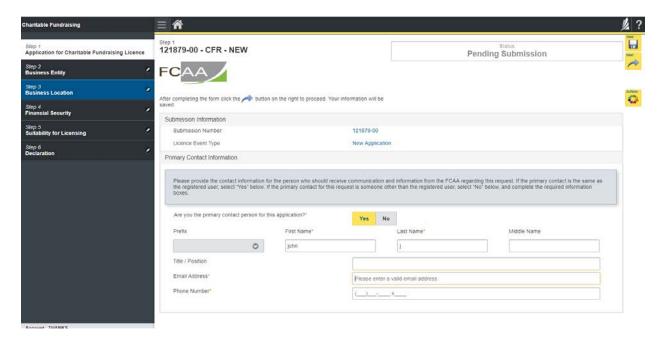
The following information is required:

- Name of primary contact
- Title/Position with the organization
- Email Address of the primary contact
- Phone Number of the primary contact

Once you have completed this step, select



next icon to the right of your page.



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#### Step 2 – Business Entity

Select the type of business entity (i.e. Corporation, Partnership, Sole Proprietor).

If a corporation, provide the following:

- Corporation name (Entity name) as listed with the Information Services Corporation
- Information Services Corporation (ISC) Registration Number (called the entity number)
- An uploaded ISC Corporate Registry Profile Report
- The names of all corporate officers/director's responsible for the Saskatchewan operation

If a partnership, provide this information:

- Partnership name (Entity name)
- ISC Registration Number (Entity number)
- Upload the ISC Corporate Registry Profile Report
- Provide the names of each member of the partnership (if applicable, this includes the ISC number for partner of the partnership that are corporations). The information you provide about the members of your partnership should correspond to the information registered with ISC.

If a sole proprietor, provide this information

- Information Services Corporation (ISC) registered business name (Entity name) and Registration Number (Entity number)
- Upload the ISC Corporate Registry Profile Report
- Provide the legal (i.e. birth) name of the sole proprietor

Once the type of business entity has been selected:

Indicate whether you conduct business as a Charitable Fundraising Business under any other business/operating name(s), select "Yes" and provide the required information or select "No".

Provide mailing address Information where you would like to receive any print correspondence related to this application.

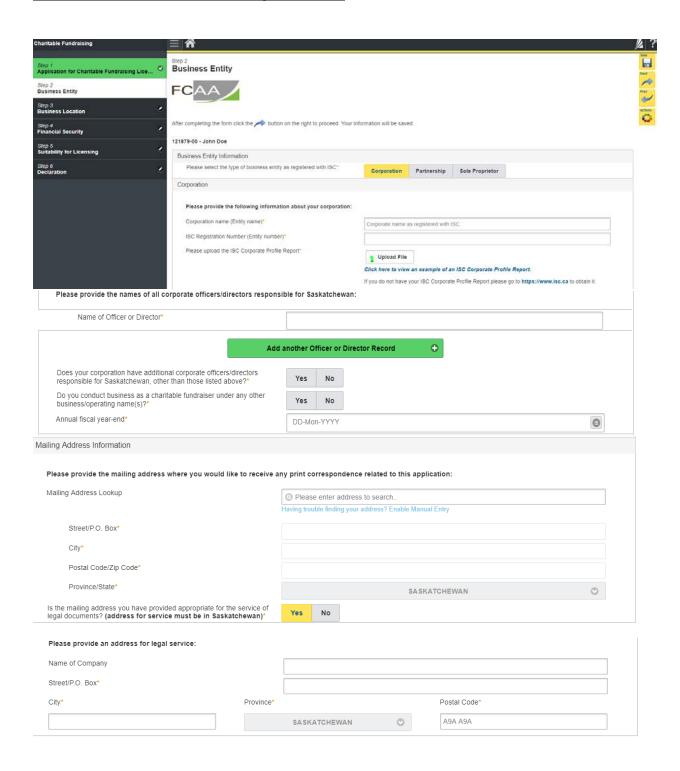
Indicate if the mailing address you have provided appropriate for the service of legal documents, select "Yes" and provide the address or select "No".

Once you have completed this step, select the



next icon to the right of your page.

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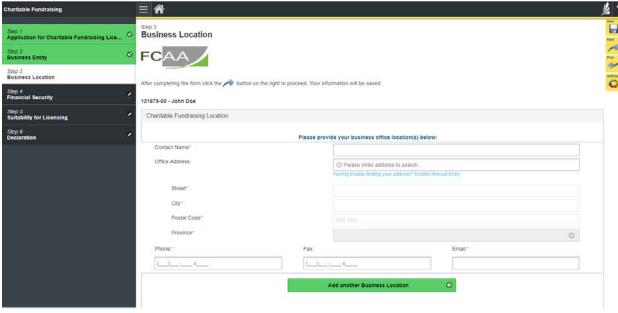
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#### Step 3 – Location of Business

Offices utilized for conducting business in Saskatchewan:

- Contact Name
- Branch Office Address
- Phone number, fax number and Email address

Once you have completed this step, select the "next" icon to the right of your page.



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#### Step 4 – Financial Security

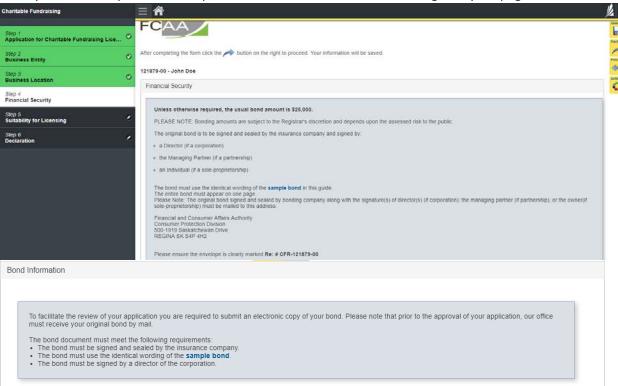
Have you obtained a penal bond that meets the requirements specified above? Select yes or No. If you select "No" you will need to describe the circumstances as to why are not able to provide a bond at this time.

Does the bond clearly reference the business name that will appear on your Charitable Fund-raising licence?

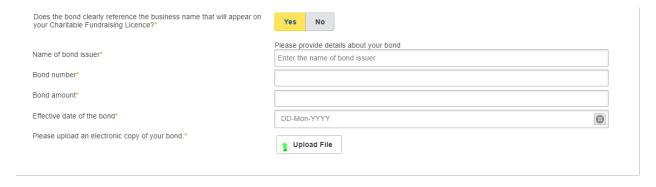
- Name of bond issuer
- Bond number
- Bond amount
- Effective date of the bond
- You will need to upload an electronic copy of your bond

**Note:** As stated in the grey area on this step the original bond signed and sealed by bonding company along with the signatures(s) of director(s) (if corporation), the managing partner (if partnership; or the owner (if sole-Proprietorship) must be mailed to our office. Please ensure the envelope is clearly marked with your application number. In this case: Re: # CFR – 121879-00 (this number can be found at the top left-hand corner of your screen).

Once you have completed this step, select the "next" icon to the right of your page.



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#### Step 5 – Suitability for Licensing

The Regulations require that applicants submit evidence of their suitability for licensing. If your license application is approved, you may be required to update this evidence periodically to ensure continued compliance.

Indicate if during the past ten years has the sole proprietor, partners or corporation had a business licence refused, suspended or cancelled under the laws of any province territory, state or count, if "Yes" please provide details.

Indicate if any of the sole proprietor, partners or corporate directors (with responsibility for Saskatchewan) have been convicted of a criminal offence. If "yes" provide details.

Provide Criminal Record Checks (CRC) for the following individuals, a list of people will appear. You will need to provide the following.

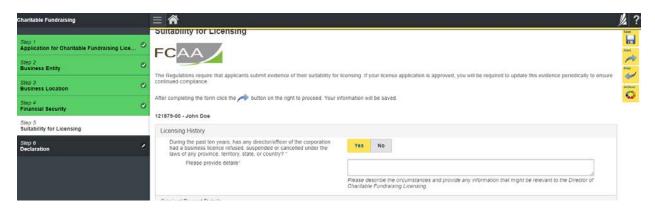
- First Name and Last Name
- Indicate whether you have a criminal record check to upload. If yes, upload the document. If no, you must provide a reason why.

Note that unless otherwise requested, a CRC is required for new applications only or if a one of the individuals listed has been convicted of a criminal activity since licensing. For clarity, the CRC is required for the directors/officers who have responsibility for the Saskatchewan operation.

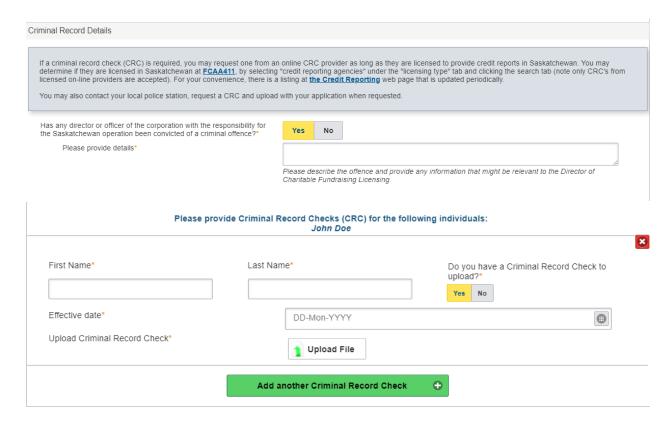
If a criminal record check (CRC) is required, you may request one from an online CRC provider as long as they are licensed to provide credit reports in Saskatchewan. You may determine if they are licensed in Saskatchewan at <u>FCAA411</u>, by selecting "Charitable Fundraising Businesses" under the "licensing type" tab and clicking the search tab (note only CRC's from licensed on-line providers are accepted).

There is a listing at the bottom of the <u>Credit Reporting web page</u> that is updated periodically, or contact your local police station, request a CRC and upload with your application when requested.

Once you have completed this step, select "next" icon to the right of your page.



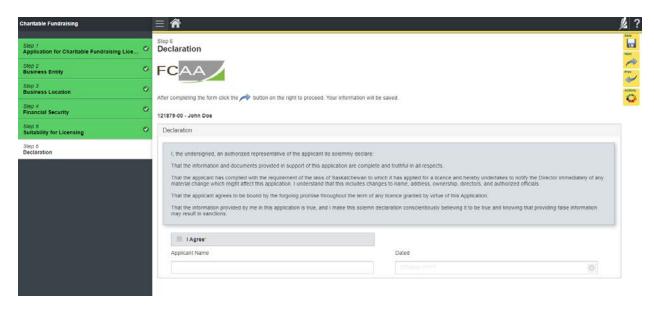
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#### Step 6 – Declaration

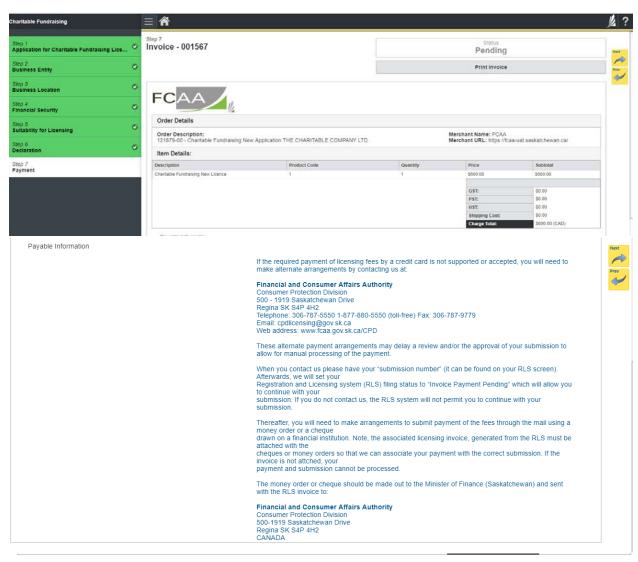
Read the declaration and if in agreement click "I Agree". Select "next" icon to the right of your page and the "Step 6 – Payment" will appear.



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#### Step 7- Payment

Review and then select "Proceed to Payment" if you are paying by credit card.



Payable Information

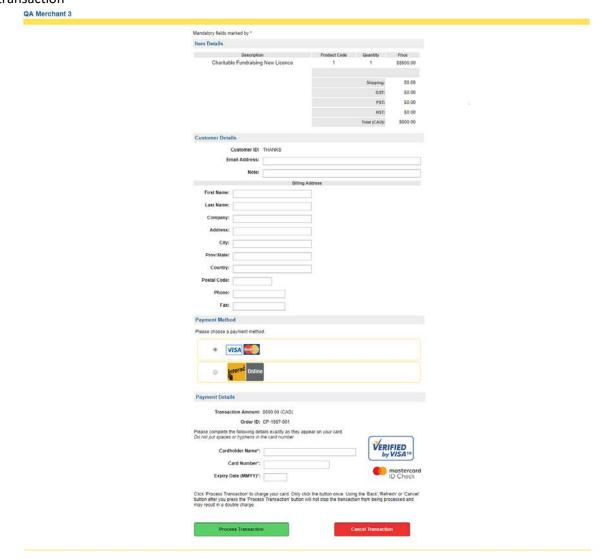


By pressing "Proceed to Payment" you will be transferred to an external site powered by Moneris in order to process your payment. The processing of your payment will be subject to the terms, conditions and privacy policies of the payment processor. By choosing to use make a payment using this service, you agree to pay us, through the payment processor, the listed "Charge Total". You must provide current, complete and accurate billing information. The billing address and transaction result will be collected by FCAA RLS. Please note that no full credit card numbers will be collected by FCAA RLS.

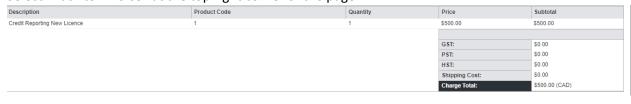
Proceed to Payment

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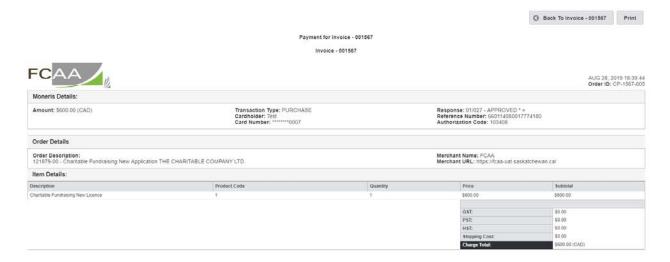
Fill out the Customer Details section, Payment Method and Payment Details. Select "Process transaction"



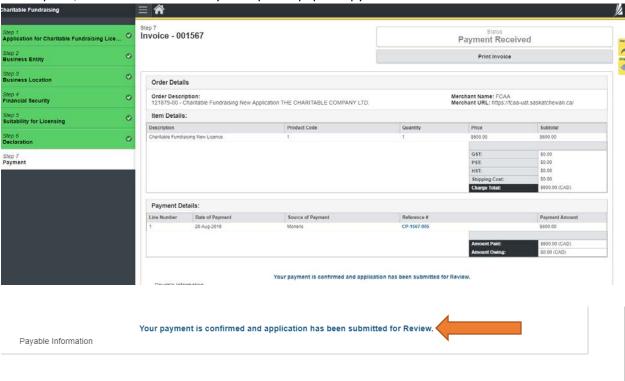
#### Select "Back to Invoice" at the top right corner of the page



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At this point, select 'Print invoice' if you require a paper copy.



Your application has now been submitted to FCAA and the "Status" of your application will change to "In Review".

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### **APPLICATION STATUS**

Once submitted, you will receive a notification email and the status of the application changes from "pending submission" to "in review". Thereafter, you will receive one or more further emails as follows:

- 1. More Information Requested.
- 2. License Approval
- 3. License Rejection

#### More Information Requested Email – If you receive such an email

- 1. Login to the RLS site
- 2. Select the 'My Pending Submissions' portal
- 3. Select the relevant licence, and respond to the information request (the event steps requiring an update are shown in yellow on the left hand side of the screen if necessary, you will need to toggle the 3 horizontal bars to view the event pages).
- 4. Re-submit on the declaration page.

#### Licence Approval Email – if you receive such an email

5. Login to the RLS site go to the "My Active Licences/Registration" portal, select your license and then "View License" to view your approved licence. Note any conditions that apply to the licence.

**License Rejection Email** - If you receive such an email, your application has not been approved. You will thereafter be provided with further correspondence with reasons and be given an opportunity to be heard/appeal.

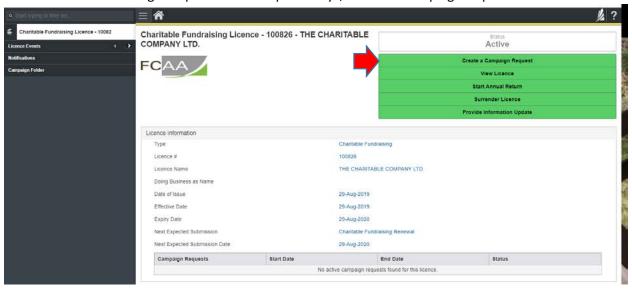
Once your license has been issued, you will receive notification in your email. Log back into RLS and find your licence under the portal to your right that says, 'My Active Licences/Registration. Click on the + to open the portal and select your licence.



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## Campaigns

Once licensed, your screen should look like the one below. You are now ready to enter your campaign information. Select the green portal at the top that says, "create a campaign request."

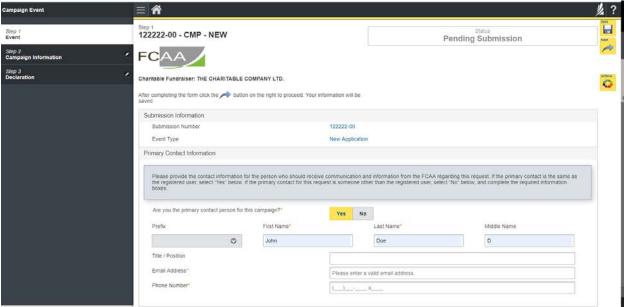


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#### Step 1 – Event

At this step, provide primary contact information for the person who should receive communication and information from the FCAA regarding the campaign.

Once you have completed this step, select "next" icon to the right of your page.



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#### Step 2 – Campaign Information

Provide campaign information by providing:

- The name that the campaign will be known by
- Campaign Start Date
- Campaign End Date

#### Charitable Organization Address Information:

- Name of Charitable Organization on whose behalf solicitations are to be made.
- Is the Charitable Organization located at a civic or legal land address? Select Civic or Legal Land Description and provide address.
- Phone number
- Fax number and Website are optional fields

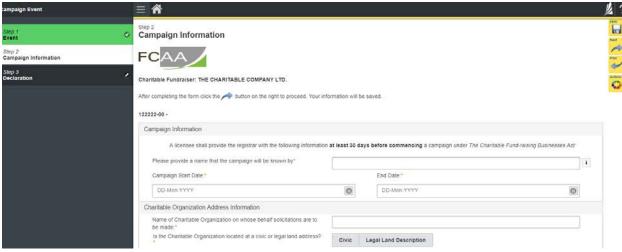
#### Charitable Organization:

- CRA Registration number of the registered charity on whose behalf solicitations are to be made.
- The jurisdiction in which contributions will be used.
- List the Saskatchewan location where the campaign is to be carried out.

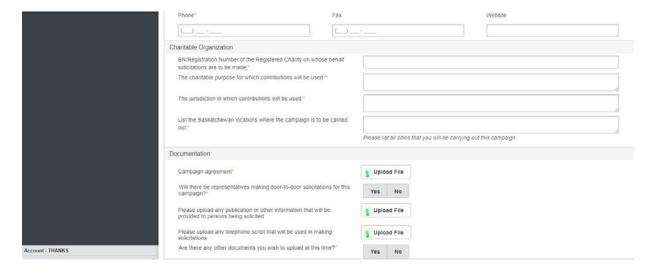
#### Documentation:

- Upload campaign agreement made with the charity
- Will there be representatives making door-to-door solicitations for this campaign? If Yes, upload the identification card to be worn by representative or select No.
- Please upload any publication or other information that will be provided to persons being solicited.
- Please upload any telephone script that will be used in making solicitations
- Are there any other documents you wish to upload at this time? If Yes, add the
  document name and upload the document or select No.

Once you have completed this step, select "next" icon to the right of your page.



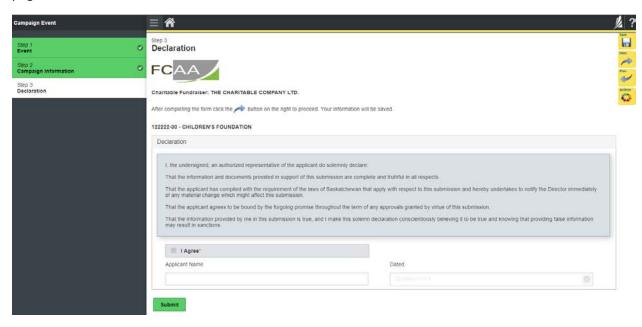
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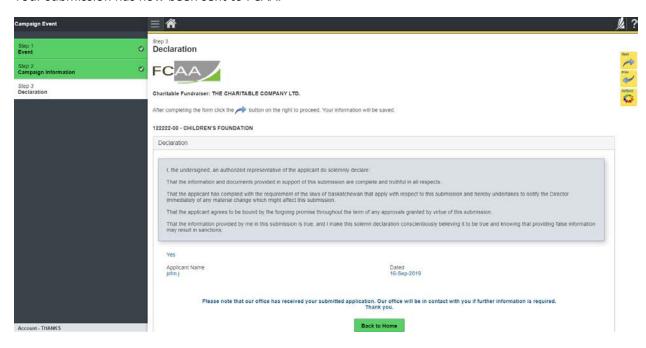
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#### Step 3 – Declaration

Read the declaration and if in agreement click "I Agree". Select green "Submit" button on the bottom of page.



Your submission has now been sent to FCAA.



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## ANNUAL FILINGS/RENEWALS

You are required to file a renewal annually. You will receive a reminder about 30 days prior to this required filing. You will need to login to your account, and go the" My Licences/Registration that Require Action". Select "Start Renewal/annual Filing"

- o Update any changed information
- o Declare the accuracy of the submission
- o Pay the required licensing fee

### APPLICATION PORTALS

My Pending Submissions – this portal contains your unfinished applications

My Submissions in Review – this portal contains your applications under review with the FCAA

My Active Licences/Registration – from this portal you can view your active licences

My Licences/Registration that Require Action – this portal contains your licences or registrations that require annual filing including any required fee payment.

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